

↓ Lecture 33 [07.08.24]

5 \leq Hilbert energy-momentum tensor:

$$
T_{ab}(\tau,\sigma) \stackrel{11.106}{=} -\frac{2}{\sqrt{h}} \frac{\delta(\sqrt{h}L_{\rm P})}{\delta h^{ab}} \stackrel{11.118}{=} T\left(\partial_a X^\mu \partial_b X_\mu - \frac{1}{2} h_{ab} \partial_c X^\mu \partial^c X_\mu\right) \tag{15.32}
$$

Use Eq. [\(11.118\)](#page--1-1) or your result from \odot Problemset 4 for the Klein-Gordon field to show this. Note that we defined the HEMT here with the opposite sign $[cf, Eq. (11.106)]$ $[cf, Eq. (11.106)]$ $[cf, Eq. (11.106)]$; this is because we use the opposite signature $(-, +)$ for the metric h_{ab} . The sign makes the energy density T_{00} *positive* (which is how the sign of the HEMT is conventionally chosen).

Symmetries constrain the HEMT as follows:

Different differential equation
$$
T^{ab} = 0
$$
 (divergence-free) (15.33a)

Weyl invariance [\(15.28\)](#page--1-4) $\stackrel{\circ}{\rightarrow}$ $T^a_{a} \equiv 0$ (traceless) (15.33b)

To show that the trace vanishes due to Weyl invariance is straightforward. First, define

$$
\tilde{h}_{ab} := e^{2\rho} h_{ab} \,, \tag{15.34}
$$

and then compute the variational derivative of $\mathcal{L}_P(\tilde{h}_{ab}, X^\mu)$ wrt. ρ :

$$
0 \stackrel{\text{Weyl}}{=} \frac{\delta \mathcal{L}_{\text{P}}}{\delta \rho} \stackrel{\text{15.28}}{=} \frac{\delta \mathcal{L}_{\text{P}}}{\delta \tilde{h}_{ab}} \frac{\delta \tilde{h}_{ab}}{\delta \rho} \stackrel{\text{11.100}}{=} -\sqrt{h} T^{ab} e^{2\rho} h_{ab} = -\sqrt{h} T^{a}_{\ a} e^{2\rho} \,. \tag{15.35}
$$

This implies $T^a_{a} \equiv 0$ without imposing the equations of motion; it is an *identity*. [This is a consequence of the fact that the fields X^{μ} do not change under Weyl transformations.]

6 | Equations of motion:

• Varying Eq. [\(15.22\)](#page--1-6) wrt. the world sheet metric h_{ab} yields the HEMT:

$$
\delta_h S_P \stackrel{!}{=} 0 \quad \Longleftrightarrow \quad T_{ab} \stackrel{!}{=} 0 \tag{15.36}
$$

 T_{ab} has no derivatives of the metric [Eq. [\(15.32\)](#page-0-0)] \rightarrow Constraint

• Varying Eq. [\(15.22\)](#page--1-6) wrt. the fields X^{μ} yields:

$$
\delta_X S_{\rm P} \stackrel{!}{=} 0 \Leftrightarrow \partial_a \left(\sqrt{h} h^{ab} \partial_b X^{\mu} \right) \stackrel{!}{=} 0 \Leftrightarrow \Delta X^{\mu} \stackrel{!}{=} 0 \qquad (15.37)
$$

with Laplace-Beltrami operator $\Delta = \nabla^a \nabla_a$ [← Eq. [\(10.97\)](#page--1-7)].

Recall → Problemset 4 and Eq. [\(11.38\)](#page--1-8).

This EOM looks much more tracktable than the EOM [\(15.21\)](#page--1-9) of the Nambu-Goto action. But we should not forget that it must be augmented by the constraint Eq. [\(15.36\)](#page-0-1).

7 | Boundary conditions:

If the world sheet is finite (here in σ direction), the variation of the action has boundary terms that must also vanish (in addition to the EOMs above):

 \prec World sheet with $\tau \in \mathbb{R}$ and $\sigma \in I = [0, l]$ for $0 < l < \infty$:

$$
\delta_X S_{\rm P} \triangleq \int_{-\infty}^{+\infty} d\tau \int_{0}^{l} d\sigma \underbrace{\underbrace{\frac{\dot{z}_0}{\delta(X_{\mu})}}_{\rightarrow \text{EOM (15.37)}}} \delta X_{\mu} - T \int_{-\infty}^{+\infty} d\tau \underbrace{\left[\underbrace{\sqrt{h} \delta X_{\mu} \partial^{\sigma} X^{\mu}}_{\text{Boundary term}}\right]_{\sigma=0}^{\sigma=l} \qquad (15.38)
$$

To show this, use Eqs. [\(11.98\)](#page--1-10) and [\(11.100\)](#page--1-5) (for X_μ instead of $g^{\mu\nu}$) and apply them to the Polyakov action Eq. [\(15.22\)](#page--1-6).

There are three possibilities to make the boundary term vanish:

• Closed string:

A closed string requires that the points $\sigma = 0$ and $\sigma = l$ in I are identified; in particular:

$$
X^{\mu}(\tau,0) \stackrel{!}{=} X^{\mu}(\tau,l) \quad \text{and} \quad \partial^{\sigma} X^{\mu}(\tau,0) \stackrel{!}{=} \partial^{\sigma} X^{\mu}(\tau,l) \tag{15.39}
$$

These conditions make the difference of the two boundary terms in Eq. [\(15.38\)](#page-1-0) vanish. For consistency, also the metric must be periodic: $h_{ab}(\tau, 0) \stackrel{!}{=} h_{ab}(\tau, l)$.

- \rightarrow All fields are periodic in σ -direction
- \rightarrow String = Closed loop
- Open string:

An open string has endpoints; there are two possibilities to make each of the two boundary terms in Eq. [\(15.38\)](#page-1-0) vanish separately on these endpoints:

– Neumann boundary conditions:

$$
\partial^{\sigma} X^{\mu}(\tau,0) \stackrel{!}{=} 0 \stackrel{!}{=} \partial^{\sigma} X^{\mu}(\tau,l) \quad \Leftrightarrow \quad \underbrace{n^{a} \partial_{a} X^{\mu}|_{\partial \mathcal{M}} \stackrel{!}{=} 0}_{\text{Coordinate independent}}
$$
(15.40)

Here M denotes the 2D world sheet and n^a is the normal on the boundary 1D ∂M .

 \rightarrow Ends of string move *freely* in spacetime

Note that the *positions* $X^{\mu}(\tau, 0)$ and $X^{\mu}(\tau, l)$ are not fixed.

– Dirichlet boundary conditions:

The constraints Eq. [\(15.39\)](#page-1-1) and Eq. [\(15.40\)](#page-1-2) are Poincaré/Lorentz covariant equations. With these boundary conditions, the internal Poincaré invariance of the theory remains

in tact. If we allow for a *violation* of this symmetry, there is a third possibility to make the boundary terms vanish:

$$
\left[\delta X_{\mu}\right]_{\sigma=0}^{\sigma=l} \stackrel{!}{=} 0 \Rightarrow \left\{\begin{matrix} \partial_{\tau}X^{\mu}(\tau,0) = 0\\ \partial_{\tau}X^{\mu}(\tau,l) = 0 \end{matrix}\right\} \Rightarrow \left\{\begin{matrix} X^{\mu}(\tau,0) = \text{const}\\ X^{\mu}(\tau,l) = \text{const} \end{matrix}\right\} \quad (15.41)
$$

 \rightarrow String ends are *fixed* (here: in *spacetime*)

For an open string, one can mix Neumann and Dirichlet boundary conditions for the different components X^{μ} because the boundary terms in Eq. [\(15.38\)](#page-1-0) are a sum over $\mu = 0, \ldots, D-1$. If X^0 and p of the spatial components X^i satisfy *Neumann* boundary conditions, the string can move freely on a p-dimensional hyperplane in space; this hyperplane (extended by one dimension in time) is called a ↑ *D*p*-brane*.

 \rightarrow Strings can be attached to a \uparrow *D-branes* (D = Dirichlet)

After quantizing strings attached to a D-brane, one finds that some of their oscillator modes can be interpreted as quantum fluctuations *of the D-brane itself* (their coherent states determine the expecation value of the D-brane position in spacetime). Hence one finds, quite surprisingly, that D-branes are actually *dynamical objects* – and not static & classical background structures.

In the following we only consier closed strings and open strings with Neumann boundary conditions.

8 | Flat gauge: (also called *conformal gauge*)

Mathematical fact: Every two-dimensional pseudo-Riemannian manifold is *conformally flat*:

 $\rightarrow \forall h_{ab} \exists$ Coordinates such that

$$
h_{ab} = \Omega^2(\tau, \sigma)\eta_{ab} = \Omega^2(\tau, \sigma) \begin{pmatrix} -1 & 0\\ 0 & 1 \end{pmatrix}_{ab}
$$
 (15.42)

for some non-vanishing *conformal factor* $\Omega(\tau, \sigma)$.

¡! Conformal flatness does not imply the vanishing of the Riemann curvature tensor.

This is a peculiar feature of two dimensions: On a d -dimensional manifold the metric tensor has $d(d + 1)/2$ independent components. The diffeomorphism group (coordinate transformations) has d generators ϵ Eq. [\(11.101\)](#page--1-11)], which leaves $d(d-1)/2$ degrees of freedom of the metric that cannot be fixed by coordinate transformations. In $d = 2$ this is exactly one degree of freedom, namely the conformal factor in Eq. [\(15.42\)](#page-2-0).

We can now use the Weyl invariance [\(15.28\)](#page--1-4) of the Polyakov action to drop the conformal factor:

$$
Weyl invariance \rightarrow h_{ab} = \eta_{ab} \qquad *Flat\,gauge \qquad (15.43)
$$

All calculations that follow are perfomed in flat gauge:

9 | Conjugate momentum & Poisson algebra:

In flat gauge, the Polyakov action & Lagrangian are quite simple:

$$
S_{\rm P}^{\rm flat}[X] \stackrel{15.22}{=} \frac{T}{2} \int d\sigma d\tau \underbrace{\left[(\dot{X})^2 - (X')^2 \right]}_{=: L_{\rm P}^{\rm flat}/(T/2)}
$$
(15.44)

To prepare for canonical quantization, we need the conjugate momentum of X^{μ} :

 \rightarrow Conjugate momentum:

$$
\Pi_{\mu}(\tau,\sigma) := \frac{\partial L_{\mathcal{P}}^{\text{flat}}}{\partial \dot{X}^{\mu}} = T \dot{X}_{\mu}
$$
\n(15.45)

with satisfies the ↓ *canonical Poisson algebra*: (defined at equal time!)

$$
\left\{X^{\mu}(\tau,\sigma),\,\Pi_{\nu}(\tau,\sigma')\right\}=\delta(\sigma-\sigma')\,\delta_{\nu}^{\mu}\tag{15.46}
$$

This is the field-theory analog of $\{x_i, p_j\} = \delta_{ij}$ that you encountered in your course on classical mechanics. The Poisson bracket for fields is defined via functional derivatives. However, we will expand the fields into a discrete set of modes → *below* anyway, so that we can impose this Poisson algebra directly on the modes (without the need for functional derivatives).

10 \mid Classical solutions of EOM [\(15.37\)](#page-0-2) for X^{μ} :

In flat gauge, the Laplace-Beltrami operator yields a simple wave equation:

$$
\underbrace{\left(\partial_{\tau}^{2} - \partial_{\sigma}^{2}\right)}_{\square} X^{\mu} = 0 \tag{15.47}
$$

We will now write down the general solutions of this EOM for a closed and an open string.

¡! Do not forget that this is only one of the EOMs; it must be augmented by the constraint Eq. [\(15.36\)](#page-0-1). We will study the implementation of this constraint on the solutions → *later*.

 $\stackrel{\circ}{\rightarrow}$ General solution:

$$
X^{\mu}(\tau,\sigma) = \underbrace{X_R^{\mu}(\tau-\sigma)}_{\text{``Right mover''}} + \underbrace{X_L^{\mu}(\tau+\sigma)}_{\text{``Left mover''}}
$$
 (15.48)

Here $X_{R/L}^{\mu}$ are arbitrary (differentiable) functions of a single variable.

In \rightarrow *light-cone coordinates* $\sigma^{\pm} = \tau \pm \sigma$ the EOM [\(15.47\)](#page-3-0) reads $\partial_+ \partial_- X^\mu = 0$. Integrating twice yields the general solution $X^{\mu} = X^{\mu}_{R}$ $X_R^{\mu}(\sigma^-) + X_L^{\mu}$ $L^{\mu}(\sigma^+).$

 \mathbf{i} | \triangleleft Closed string:

a | We must implement the boundary conditions Eq. [\(15.39\)](#page-1-1) on the solutions Eq. [\(15.48\)](#page-3-1). Let *w.l.o.g.* $l = \pi$: (this can always be achieved by reparametrizing the world sheet)

$$
X^{\mu} \in \mathbb{R} \quad \text{and} \quad X^{\mu}(\tau, \sigma + \pi) = X^{\mu}(\tau, \sigma). \tag{15.49}
$$

 \rightarrow We want to parametrize *real-valued*, σ -*periodic* and *differentiable* functions.

 \rightarrow Fourier series

b | $\stackrel{\circ}{\rightarrow}$ Most general solution:

$$
X_R^{\mu} = \frac{1}{2}x^{\mu} + \alpha' p^{\mu}(\tau - \sigma) + i \sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{\alpha_n^{\mu}}{n} \exp\left[-2i n(\tau - \sigma)\right]
$$
 (15.50a)

$$
X_L^{\mu} = \frac{1}{2}x^{\mu} + \alpha' p^{\mu}(\tau + \sigma) + i \sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{\tilde{\alpha}_n^{\mu}}{n} \exp\left[-2i n(\tau + \sigma)\right]
$$
 (15.50b)

Here we use the slope parameter $\alpha' = (2\pi T)^{-1}$ for convenience. Note that we extracted the $n = 0$ component as $\frac{1}{2}x^{\mu}$ from the sum. The linear part $\propto p^{\mu}$ is not periodic in σ but becomes so in the sum Eq. [\(15.48\)](#page-3-1). All prefactors are chosen for convenience.

The solutions are parametrized by the following free parameters:

• x^{μ} , $p^{\mu} \in \mathbb{R}$: Center of mass initial position & momentum of string

The interpretation of x^{μ} and p^{μ} is easily confirmed:

$$
x^{\mu} \triangleq \frac{1}{\pi} \int_0^{\pi} d\sigma \, X^{\mu}(0, \sigma) \tag{15.51}
$$

and

$$
p^{\mu} \triangleq \frac{1}{2\pi\alpha'} \int_0^{\pi} d\sigma \, \partial_{\tau} X^{\mu}(\tau,\sigma) \stackrel{15.45}{=} \int_0^{\pi} d\sigma \, \Pi^{\mu}(\tau,\sigma). \tag{15.52}
$$

• $\alpha_n^{\mu}, \tilde{\alpha}_n^{\mu} \in \mathbb{C}$: Fourier components of string oscillation modes Reality condition: $X^{\mu} \in \mathbb{R} \Leftrightarrow$

$$
\alpha_{-n}^{\mu} = (\alpha_n^{\mu})^* \quad \text{and} \quad \tilde{\alpha}_{-n}^{\mu} = (\tilde{\alpha}_n^{\mu})^* \tag{15.53}
$$

When checking this, do not forget that $n \in \mathbb{Z}$ so that $n \mapsto -n$.

It will be convenient to define for the closed string: $\alpha^\mu_0\equiv\tilde{\alpha}^\mu_0\equiv\sqrt{\frac{\alpha'}{2}}$ $rac{\alpha'}{2}p^{\mu}.$

c | Poisson algebra Eq. [\(15.46\)](#page-3-3) in mode space $\overset{\circ}{\Leftrightarrow}$

$$
\{x^{\mu}, p^{\nu}\} = \eta^{\mu\nu} \tag{15.54a}
$$

$$
\{\alpha_m^{\mu}, \alpha_n^{\nu}\} = im\delta_{m+n} \eta^{\mu\nu} \tag{15.54b}
$$

$$
\{\tilde{\alpha}_m^{\mu}, \tilde{\alpha}_n^{\nu}\} = im \delta_{m+n} \eta^{\mu\nu}
$$
\n
$$
\{\alpha_m^{\mu}, \tilde{\alpha}_n^{\nu}\} = 0
$$
\n(15.54c)\n(15.54d)

- \bullet :! Note the complex *i* in the Poisson algebra of the Fourier modes. After quantization, this will make pairs $(\alpha_n^{\mu}, \alpha_{-n}^{\mu})$ into creation and annihilation operators of a harmonic oscillator mode.
- The Poisson algebra is the starting point for a canonical quantization procedure (→ *below*). So what is the point of the *classical* solutions Eq. [\(15.50\)](#page-4-0)? There are two aspects to consider:

First, for $\tau = 0$, the expansion Eq. [\(15.50\)](#page-4-0) is a completely general parametrization of configurations X^{μ} of the string that are consistent with its boundary conditions. This makes the Fourier coefficients α_n^{μ} , $\tilde{\alpha}_n^{\mu}$ [together with x^{μ} and the reality constraint Eq. [\(15.53\)](#page-4-1)] a convenient (and discrete) set of dynamical variables to encode the field X^{μ} . The Fourier expansion exploits the symmetry of the problem under translations along σ , and leads to a decoupling of the Poisson brackets between different modes. (Note that only brackets of the form $\{\alpha_n^{\mu}, \alpha_{-n}^{\mu}\}$ do *not* vanish.)

Second, eventually we want to quantize the fields X^{μ} . Since the Heisenberg field operators of free fields obey the *classical* equations of motion (↑ *Quantum field theory* [\[20\]](#page--1-12)), we can simply quantize the mode operators and plug them into Eq. [\(15.50\)](#page-4-0) to obtain the Heisenberg field operators for $\tau \neq 0$ (thereby skipping the solution of the Heisenberg equation, i.e., the application of the time-evolution operator).

 $\mathbf{i} \in \mathbb{I}$ **d** \Diamond Open string & Neumann boundary conditions: (no D-branes!)

a | We must implement the boundary conditions Eq. [\(15.40\)](#page-1-2) on the solutions Eq. [\(15.48\)](#page-3-1). Let again *w.l.o.g.* $l = \pi$:

$$
X^{\mu} \in \mathbb{R} \quad \text{and} \quad \partial_{\sigma} X^{\mu}(\tau, 0) = 0 = \partial_{\sigma} X^{\mu}(\tau, \pi) \tag{15.55}
$$

b | $\stackrel{\circ}{\rightarrow}$ General solutions:

$$
X^{\mu}(\tau,\sigma) = x^{\mu} + 2\alpha' p^{\mu} \tau + i \sqrt{2\alpha'} \sum_{n \neq 0} \frac{\alpha_n^{\mu}}{n} \exp\left[-i n \tau\right] \cos(n \sigma) \quad (15.56)
$$

You can derive this from the closed string solutions Eq. [\(15.50\)](#page-4-0) by imposing the constraint Eq. [\(15.55\)](#page-5-0) which cuts the degrees of freedom in half.

The solutions are parametrized by the following free parameters:

- x^{μ} , $p^{\mu} \in \mathbb{R}$: Center of mass initial position & momentum of string
- $\alpha_n^{\mu} \in \mathbb{C}$: Fourier components of string oscillation modes
	- \rightarrow Only one set $\{\alpha_n^{\mu}\}$ of oscillator modes!

Reality condition: $X^{\mu} \in \mathbb{R} \Leftrightarrow$

$$
\alpha_{-n}^{\mu} = (\alpha_n^{\mu})^* \tag{15.57}
$$

It will be convenient to define for the closed string: $\alpha_0^{\mu}\equiv$ $\overline{2\alpha'}p^{\mu}$ (Note that this definition is different from the closed string!)

$$
\{x^{\mu}, p^{\nu}\} = \eta^{\mu\nu} \quad \text{and} \quad \{\alpha_m^{\mu}, \alpha_n^{\nu}\} = i m \delta_{m+n} \eta^{\mu\nu} \tag{15.58}
$$

This is the subset of Eq. [\(15.54\)](#page-4-2) where the modes $\tilde{\alpha}_n^{\mu}$ have been dropped.

11 \leq Constraint Eq. [\(15.36\)](#page-0-1):

Now that we have the solutions of the EOM [\(15.37\)](#page-0-2) (for open and closed strings), we should also impose the constraint Eq. [\(15.36\)](#page-0-1) on them. Here we only simplify the contraint in flat gauge, but do not enforce it yet on the level of oscillator modes. We do this → *later* after some more gauge fixing.

$$
T_{ab}(\tau,\sigma) \stackrel{15.32}{=} T\left(\partial_a X^\mu \partial_b X_\mu - \frac{1}{2} \eta_{ab} \eta^{cd} \partial_c X^\mu \partial_d X_\mu\right) \stackrel{!}{=} 0 \tag{15.59}
$$

 $\stackrel{\circ}{\rightarrow}$ In components this reads:

$$
T_{00} = T_{11} = \frac{1}{2} \left[(\dot{X})^2 + (X')^2 \right] \stackrel{!}{=} 0 \tag{15.60a}
$$

$$
T_{01} = T_{10} = \dot{X} \cdot X' \stackrel{!}{=} 0 \tag{15.60b}
$$

We can now check Eq. [\(15.33b\)](#page-0-3) explicitly:

$$
T^a_{\ a} = \eta^{ab} T_{ab} = T_{11} - T_{00} = 0 \tag{15.61}
$$

As explained above, this is a consequence of the Weyl invariance of the Polyakov action.

The constraint equations can be combined in a convenient form:

Eq. (15.60)
$$
\Leftrightarrow
$$
 $(\dot{X} \pm X')^2 = 0$ (15.62)

This will be our starting point to enforce the constraint → *later*.

12 | Conserved quantities:

As preparation for → *later*, let us briefly discuss the conserved quantities that follow from the global Poincaré symmetry of the Polyakov action:

Poincaré symmetry Eq. [\(15.24\)](#page--1-13) ! Noether currents = ⁂ *World sheet currents*

[Remember: Poincaré transformations = Translations + Rotations + Boosts]

¡! The Poincaré symmetry is an *internal* symmetry, and the corresponding Noether currents live on the 2D *world sheet* (not on spacetime!). This means that latin indices a, b, \ldots label the *components* of the currents, whereas greek (spacetime) indices μ , ν , ... label different types of currents, corresponding to different spacetime symmetries.

• μ -Translations: $\delta_{\nu} X^{\mu} = \delta_{\nu}^{\mu}$

(Recall Eqs. [\(6.79\)](#page--1-14) and [\(6.89\)](#page--1-15) and note that a μ -translation shifts the *value* of the field X^{μ} .)

Eq. (6.84)
$$
\stackrel{\circ}{\rightarrow}
$$
 $P_a^{\mu} = T \partial_a X^{\mu}$ with $\partial_a P^{a\mu} \doteq 0$ (15.63)

 \rightarrow Conserved charge: Total 4-momentum:

$$
P^{\mu} \stackrel{6.86}{:=} \int_0^{\pi} d\sigma P_0^{\mu} = T \int_0^{\pi} d\sigma \dot{X}^{\mu} \stackrel{15.52}{=} p^{\mu} \tag{15.64}
$$

When using Eq. [\(6.84\)](#page--1-16) to derive this, be very careful: Here the symmetry is labeled by a spacetime index $(a \mapsto v)$ whereas "spacetime" is now the world sheet $(\mu \mapsto a)$. The field is still a scalar, but there are D of them labeled by another spacetime index ($\phi \mapsto X^{\mu}$). Since the Poincaré symmetry is an *internal* symmetry, it is $\delta_a x^{\mu} \mapsto \delta_{\nu} \sigma^a = 0$, i.e., it does not transform the world sheet coordinates.

• $\mu \nu$ -Rotations: $\delta^{\alpha\beta} X^{\mu} = \eta^{\alpha\mu} X^{\beta} - \eta^{\beta\mu} X^{\alpha}$ [\leftarrow Eq. [\(6.78\)](#page--1-18), we drop the arbitrary $\frac{1}{2}$]

("Rotations" here refers to both spatial rotations and boosts.)

Eq. (6.84)
$$
\stackrel{\circ}{\rightarrow}
$$
 $J_a^{\mu\nu} = T(X^{\mu}\partial_a X^{\nu} - X^{\nu}\partial_a X^{\mu})$ with $\partial_a J^{a\mu\nu} \doteq 0$ (15.65)

 \rightarrow Conserved charge: Total 4-angular momentum:

$$
J^{\mu\nu} = \int_0^{\pi} d\sigma J_0^{\mu\nu} = T \int_0^{\pi} d\sigma \left(X^{\mu} \dot{X}^{\nu} - X^{\nu} \dot{X}^{\mu} \right) \tag{15.66}
$$

After quantization, this charge becomes an *operator* that generates rotations & boosts on the Hilbert space of the string (just like the momentum operator generates translations). It will be crucial to determine the critical dimension of bosonic string theory.

13 | Hamiltonian:

In flat gauge, and with the mode expansion at hand, it is now straightforward to derive the Hamiltonian of the Polyakov action:

i | As usual, we get the Hamiltonian via Legendre transformation from the Polyakov Lagrangian:

$$
H = \int_0^{\pi} d\sigma \left[\dot{X} \cdot \Pi - L_{\rm P}^{\rm flat} \right] \stackrel{15.44}{=} \frac{T}{2} \int_0^{\pi} d\sigma \left[(\dot{X})^2 + (X')^2 \right] \tag{15.67}
$$

Using the Fourier expansion of the fields, this can be rewritten in terms of oscillator modes:

Open string:
$$
H \stackrel{15.56}{=} \frac{1}{2} \sum_{n} \alpha_{-n} \cdot \alpha_{n}
$$
 (15.68a)

Closed string:
$$
H \stackrel{15.48}{=} \frac{1}{2} \sum_{n} (\alpha_{-n} \cdot \alpha_n + \tilde{\alpha}_{-n} \cdot \tilde{\alpha}_n)
$$
 (15.68b)

- Here we introduced the shorthand notation $\alpha_n \cdot \alpha_{-n} \equiv \eta_{\mu\nu} \alpha_n^{\mu} \alpha_{-n}^{\nu}$.
- Note that these sums include the $n = 0$ mode, i.e., the momentum p^{μ} of the string:

Open string
$$
(\alpha_0^{\mu} = \sqrt{2\alpha'} p^{\mu})
$$
:
\n
$$
\frac{1}{2}\alpha_0 \cdot \alpha_0 = \alpha' p^2
$$
\n(15.69a)
\nClosed string $(\tilde{\alpha}_0^{\mu} = \sqrt{\alpha'/2} p^{\mu})$:
\n
$$
\frac{1}{2}(\alpha_0 \cdot \alpha_0 + \tilde{\alpha}_0 \cdot \tilde{\alpha}_0) = \frac{1}{2}\alpha' p^2
$$
\n(15.69b)

These terms account for the kinetic energy of the string.

• To derive Eq. [\(15.68\)](#page-7-0), use that for $n, m \in \mathbb{N}$

$$
\int_0^{\pi} d\sigma \cos(n\sigma) \cos(m\sigma) = \frac{\pi}{2} \delta_{n,m} = \int_0^{\pi} d\sigma \sin(n\sigma) \sin(m\sigma) . \qquad (15.70)
$$

ii | The constraint equation implies that the Hamiltonian vanishes on-shell:

Eqs. (15.60a) and (15.67)
$$
\Rightarrow
$$
 $H \doteq 0$ (15.71)

This is similar to Section [5.4](#page--1-19) [in particular Eq. [\(5.93\)](#page--1-20)] were we found the Hamiltonian of the relativistic particle to vanish as well. We identified the reparametrization invariance as the root cause, which is a local (gauge) symmetry that produces constraints via Noether's second theorem. Here, the Hamiltonian generates translations in τ – but τ is only one of many possible time-like parametrizations (due to the diffeomorphism invariance on the world sheet); it has no physical interpretation. Consequently, the Hamiltonian that generates translations in this parameter has no physical significance either.

iii \mid Eq. [\(15.71\)](#page-8-0) \rightarrow Mass shell condition:

We study open and closed strings separately:

• \leq *Open* string: Combining our previous results implies:

$$
\frac{1}{2}\alpha_0 \cdot \alpha_0 + \sum_{n>0} \alpha_{-n} \cdot \alpha_n \stackrel{15.68a}{=} 0 \quad \Leftrightarrow \quad \alpha' p^2 \stackrel{15.69a}{=} -\sum_{n>0} \alpha_{-n} \cdot \alpha_n \quad (15.72)
$$

Thus the norm of the 4-momentum of the string is determined by its oscillation modes.

 \rightarrow Recall that the norm of a 4-momentum is a Lorentz scalar called *(rest) mass*:

$$
p^2 \stackrel{5.4}{=} -M^2 \quad \Rightarrow \quad M^2 = \frac{1}{\alpha'} \sum_{n>0} \alpha_{-n} \cdot \alpha_n \tag{15.73}
$$

M: Rest mass of the *open* string

- **–** If you think about it, this result makes sense: The oscillations of the string contribute to its *internal* energy. And in Section [5.2](#page--1-22) we argued that in a relativistic theory, any type of internal energy contributes to the rest mass of an object.
- Note that $(\alpha_n^{\mu})^* = \alpha_{-n}^{\mu}$ makes terms like $\alpha_{-n}^{\mu} \alpha_n^{\mu} = |\alpha_n^{\mu}|^2$ non-negative. However, not also that $\alpha_{-n} \cdot \alpha_n = \eta_{\mu\nu} \alpha_{-n}^{\mu} \alpha_n^{\nu}$, so that the Lorentzian signature of $\eta_{\mu\nu}$ produces positive and negative terms in the sum. The current form of Eq. [\(15.73\)](#page-8-1) is therefore potentially problematic, since the left-hand side is the mass squared.
- $\bullet \leq Closed$ string: Along the same lines, one finds for the closed string the constraint:

$$
\frac{15.68b}{\frac{1}{2}\alpha'p^2} - \sum_{n>0} \left(\alpha_{-n} \cdot \alpha_n + \tilde{\alpha}_{-n} \cdot \tilde{\alpha}_n\right) \tag{15.74}
$$

… so that the rest mass of the string is given by:

$$
p^2 \stackrel{5.4}{=} -M^2 \quad \Rightarrow \quad M^2 = \frac{2}{\alpha'} \sum_{n>0} (\alpha_{-n} \cdot \alpha_n + \tilde{\alpha}_{-n} \cdot \tilde{\alpha}_n) \tag{15.75}
$$

M: Rest mass of the *closed* string