

↓ Lecture 20 [07.05.24]

10.2.1. Covariant derivatives

- 10 | The definition Eq. (10.37) of the → *absolute derivative* did not require $A^i(\lambda)$ to be defined in a neighborhood of the curve $\gamma(\lambda)$. However, if $A^i(\lambda) \equiv A^i(\gamma(\lambda))$ is defined on the whole manifold (or at least in a neighborhood of the curve), we can define a more useful derivative:

$$\frac{dA^i}{d\lambda} = \frac{\partial A^i}{\partial x^k} \frac{dx^k}{d\lambda} \Rightarrow \frac{DA^i}{D\lambda} \stackrel{10.37}{=} \left(\frac{\partial A^i}{\partial x^k} + \Gamma^i_{mk} A^m \right) \frac{dx^k}{d\lambda} \equiv A^i{}_{;k} \frac{dx^k}{d\lambda} \quad (10.49)$$

→ **Covariant derivative* of a *contravariant* vector:

$$\underbrace{\begin{Bmatrix} D_k A^i \\ \nabla_k A^i \\ A^i{}_{;k} \end{Bmatrix}}_{\text{Alternative notations}} := \underbrace{\begin{Bmatrix} \frac{\partial A^i}{\partial x^k} \\ \partial_k A^i \\ A^i{}_{,k} \end{Bmatrix}}_{\text{Alternative notations}} + \Gamma^i_{mk} A^m \quad (10.50)$$

→ $A^i{}_{;k}$ is (1, 1)-tensor

Proof: Via the ← *quotient theorem* or by straightforward calculation using Eq. (10.39) (← *Section 3.6*).

- 11 | Covariant derivative of a scalar:

$$\Phi_{;k} := \Phi_{,k} \quad (10.51)$$

→ $\Phi_{;k}$ is (0, 1)-tensor [Proof: Eq. (3.19)]

That the partial derivatives of scalar fields encode geometric objects, and there is no need to use the additional structure of a connection, is a consequence of the fact that scalar fields map to \mathbb{R} and not $T_p M$. Note that it makes sense to talk about a *constant* scalar field $\phi(p) = \phi(q)$ for all $p, q \in M$ without referring to a particular coordinate system or specifying an additional structure!

- 12 | One demands that the ↓ *Leibniz product rule* is valid for covariant derivatives:

$$(A^i B_i)_{;k} \stackrel{!}{=} A^i{}_{;k} B_i + A^i B_{i;k} \quad (10.52)$$

→ Covariant derivative of *covariant* vector:

$$B_{i;k} := B_{i,k} - \Gamma^m_{ik} B_m \quad (10.53)$$

Cf. Eq. (10.50): Different summation indices and different sign!

→ $B_{i;k}$ is (0, 2)-tensor

Proof. First we note that

$$A^i{}_{;k} B_i + A^i B_{i;k} \stackrel{10.52}{=} (A^i B_i)_{;k} \stackrel{10.51}{=} (A^i B_i)_{,k} = A^i{}_{,k} B_i + A^i B_{i,k} \quad (10.54)$$

since $A^i B_i$ is a scalar. With the definition Eq. (10.50) it follows

$$A^i B_{i;k} \stackrel{\circ}{=} A^i (B_{i,k} - \Gamma^m{}_{ik} B_m) . \quad (10.55)$$

Since this must be true for arbitrary A^i , Eq. (10.53) follows. ■

13 | Covariant derivatives of higher-rank tensors:

The above structure can be generalized to tensors of arbitrary rank:

$$T^{ik\dots}_{rs\dots;l} := T^{ik\dots}_{rs\dots,l} + \underbrace{\Gamma^i{}_{ml} T^{mk\dots}_{rs\dots}}_{\forall \text{ upper indices}} + \dots - \underbrace{\Gamma^m{}_{rl} T^{ik\dots}_{ms\dots}}_{\forall \text{ lower indices}} - \dots$$

(10.56)

Example:

Covariant derivatives of rank-2 tensors:

$$T^{ik}{}_{;l} = T^{ik}{}_{,l} + \Gamma^i{}_{ml} T^{mk} + \Gamma^k{}_{ml} T^{im} \rightarrow (2, 1)\text{-tensor} \quad (10.57a)$$

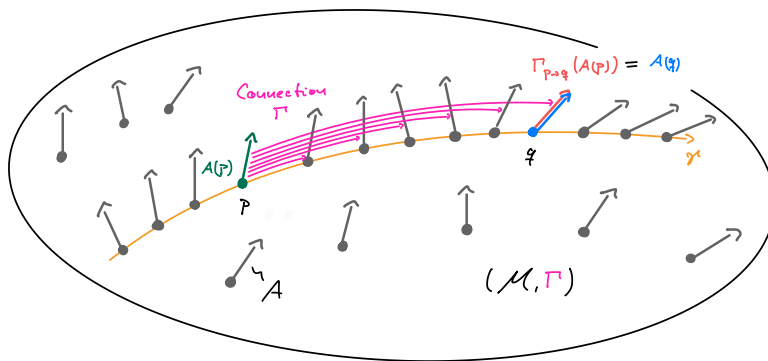
$$T_{ik;l} = T_{ik,l} - \Gamma^m{}_{il} T_{mk} - \Gamma^m{}_{kl} T_{im} \rightarrow (0, 3)\text{-tensor} \quad (10.57b)$$

$$T^i{}_{k;l} = T^i{}_{k,l} + \Gamma^i{}_{ml} T^m{}_k - \Gamma^m{}_{kl} T^i{}_m \rightarrow (1, 2)\text{-tensor} \quad (10.57c)$$

For a proof, see SCHRÖDER [3] (p. 53).

10.2.2. Parallel vector fields and autoparallel curves

14 | ≪ Vector field $A = A^i \partial_i$ & curve γ :



A is a \star parallel (vector field) along γ

$$\Leftrightarrow \frac{DA^i}{D\lambda} = \frac{dA^i}{d\lambda} + \Gamma^i{}_{kl} A^k \frac{dx^l}{d\lambda} \stackrel{!}{=} 0 \quad (10.58)$$

- Given a connection Γ , Eq. (10.58) is a first-order differential equation for A^i . By solving it for a given initial value of $A^i(\lambda = 0)$, one can reconstruct a parallel vector field on the curve γ .

- For higher-rank tensors, one defines parallelism along a curve analogously:

$$\frac{DT^{ik\dots mn\dots}}{D\lambda} \stackrel{!}{=} 0 \tag{10.59}$$

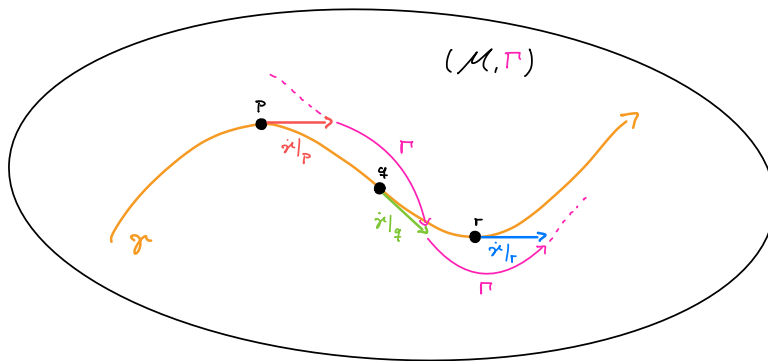
15 | **** Autoparallel curve:** Generalization of a *straight line* in \mathbb{R}^D :

Straight line: Curve that “keeps its direction constant.”

We cannot characterize a straight line as “the shortest curve between two points” because we do not have a metric, only a connection!

◁ Curve γ with parametrization $\gamma^\mu(\lambda)$ (in some chart)

γ is **** autoparallel** : \Leftrightarrow Tangent field $A = A^i \partial_i := \frac{d\gamma^i}{d\lambda} \partial_i$ is \leftarrow parallel along γ :



Eq. (10.58)
→

$$\frac{d^2\gamma^i}{d\lambda^2} + \Gamma^i_{kl} \frac{d\gamma^k}{d\lambda} \frac{d\gamma^l}{d\lambda} = 0 \quad \Rightarrow \quad \gamma \text{ is } \mathbf{**} \text{ autoparallel} \tag{10.60}$$

- **!** If a parametrization of a curve satisfies the DGL Eq. (10.60), the curve is autoparallel and the given parametrization is called **** affine**. Since Eq. (10.60) is *not* reparametrization invariant (\rightarrow below), there are other (non-affine) parametrizations of the same autoparallel curve that do *not* satisfy Eq. (10.60). Every autoparallel curve has such an affine parametrization (which is unique up to affine transformations).
- Once we have a metric and a compatible connection (\rightarrow Section 10.3), the autoparallel curves will be identical to the curves of *shortest length* (\rightarrow geodesics).
- Let us assume that an affine parametrization of an autoparallel curve satisfies Eq. (10.60). Now consider a reparametrization $\mu = f(\lambda)$ given by some strictly monotone function f . The new parametrization is then $\tilde{\gamma}^i(\mu) = \tilde{\gamma}^i(f(\lambda)) := \gamma^i(\lambda)$ and satisfies the DGL

$$\frac{d^2\tilde{\gamma}^i}{d\mu^2} + \Gamma^i_{kl} \frac{d\tilde{\gamma}^k}{d\mu} \frac{d\tilde{\gamma}^l}{d\mu} \stackrel{\circ}{=} h(\mu) \frac{d\tilde{\gamma}^i}{d\mu} \quad \text{with} \quad h(\mu) = -\frac{d^2\mu}{d\lambda^2} \left(\frac{d\mu}{d\lambda}\right)^{-2}. \tag{10.61}$$

The definition of h is equivalent to the DGL

$$\frac{d^2\mu}{d\lambda^2} + h(\mu) \left(\frac{d\mu}{d\lambda}\right)^2 = 0. \tag{10.62}$$

If λ is an affine parameter, the transformation f yields *another* affine parameter μ if and only if $h(\mu) \equiv 0$, i.e.,

$$\frac{d^2\mu}{d\lambda^2} = 0, \tag{10.63}$$

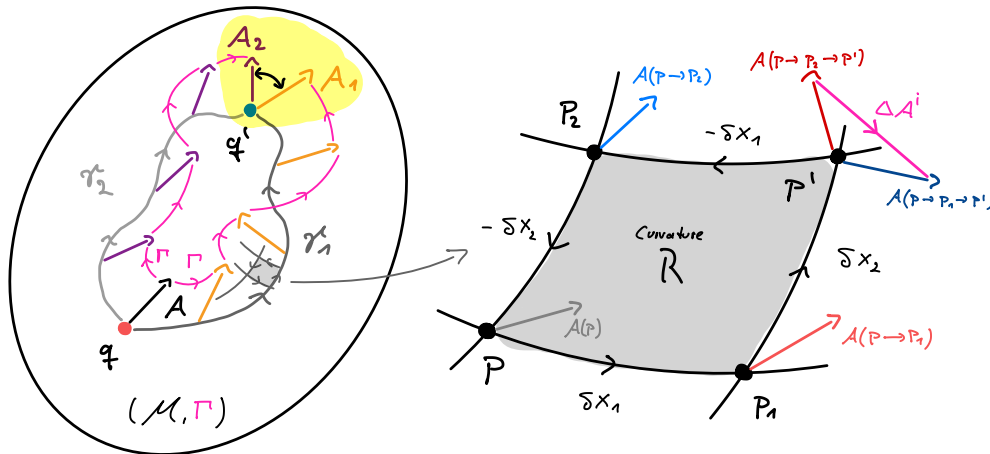
which is solved by reparametrizations of the *affine* form $\mu = f(\lambda) = a\lambda + b$. That is, affine parametrizations are unique up to affine reparametrizations.

- This problem does not affect the definition of a parallel vector field because Eq. (10.58) is reparametrization invariant.

10.2.3. The curvature tensor

Now that we have a formal concept of the parallel transport of vectors from one tangent space to another, we can ask whether the result of such a transport depends only on the final destination, or whether the path of the transport also plays a role. The answer will be that, for a generic connection, parallel transport indeed is path dependent, and that this path dependence is a manifestation of the intrinsic *curvature* of the manifold (more precisely: its connection).

16 | ◁ Parallel transport of vector $A = A^i \partial_i$ from q to q' via different paths γ_1 and γ_2 :



→ It is easier (and sufficient) to study an infinitesimal parallelogram.

17 | ◁ Path $p \xrightarrow{p_1} p'$:

The first parallel transport along δx_1 yields:

$$A^i(p \xrightarrow{\delta x_1} p_1) \stackrel{10.34}{=} \underbrace{A^i(p) + \delta_1 A^i(p)}_{\equiv A^i + \delta_1 A^i} = A^i(p) - \Gamma^i_{kl}(p) A^k \delta x_1^l \tag{10.64}$$

The subsequent parallel transport along δx_2 yields:

$$A^i(p \xrightarrow{\delta x_1} p_1 \xrightarrow{\delta x_2} p') = A^i(p \xrightarrow{\delta x_1} p_1) + \delta_2 A^i(p \xrightarrow{\delta x_1} p_1) \tag{10.65a}$$

$$= A^i + \delta_1 A^i - \Gamma^i_{nm}(p_1) [A^n + \delta_1 A^n] \delta x_2^m \tag{10.65b}$$

Our goal is to express everything in the initial point p . →

$$\Gamma^i_{nm}(p_1) \approx \Gamma^i_{nm}(p) + \partial_l \Gamma^i_{nm}(p) \delta x_1^l \tag{10.66}$$

(Since we consider an *infinitesimal* parallelogram, we only need linear variations of all quantities.)

With this expansion, we find for the parallel vector in p' :

$$A^i(p \xrightarrow{\delta x_1} p_1 \xrightarrow{\delta x_2} p') \doteq \underbrace{A^i - \Gamma^i_{kl} A^k \delta x_1^l}_{\delta_1 A^i(p)} - \underbrace{\Gamma^i_{nm} A^n \delta x_2^m}_{\delta_2 A^i(p)} \quad (10.67)$$

$$+ \Gamma^i_{nm} \Gamma^n_{kl} A^k \delta x_1^l \delta x_2^m - \partial_l \Gamma^i_{nm} A^n \delta x_1^l \delta x_2^m + \mathcal{O}((\delta x)^3)$$

In this expression, all connection coefficients and fields are evaluated in p !

18 | \leftarrow Path $p \xrightarrow{p_2} p'$: Same expression with $\delta x_1 \leftrightarrow \delta x_2$:

$$A^i(p \xrightarrow{\delta x_2} p_2 \xrightarrow{\delta x_1} p') \doteq \underbrace{A^i - \Gamma^i_{kl} A^k \delta x_2^l}_{\delta_2 A^i(p)} - \underbrace{\Gamma^i_{nm} A^n \delta x_1^m}_{\delta_1 A^i(p)} \quad (10.68)$$

$$+ \Gamma^i_{nm} \Gamma^n_{kl} A^k \delta x_2^l \delta x_1^m - \partial_l \Gamma^i_{nm} A^n \delta x_2^l \delta x_1^m + \mathcal{O}((\delta x)^3)$$

19 | \rightarrow Path dependence:

$$\Delta A^i := A^i(p \xrightarrow{\delta x_1} p_1 \xrightarrow{\delta x_2} p') - A^i(p \xrightarrow{\delta x_2} p_2 \xrightarrow{\delta x_1} p')$$

$$= \left. \begin{array}{l} \text{Change of } A^i \text{ after parallel transport along} \\ \text{closed path } p \rightarrow p_1 \rightarrow p' \rightarrow p_2 \rightarrow p. \end{array} \right\}$$

Drop $\mathcal{O}((\delta x)^3)$ terms.

$$\equiv R^i_{klm} A^k \delta x_1^m \delta x_2^l \quad (10.69)$$

with the $\ast\ast$ curvature tensor

$$R^i_{klm} \doteq \partial_l \Gamma^i_{km} - \partial_m \Gamma^i_{kl} + \Gamma^i_{nl} \Gamma^n_{km} - \Gamma^i_{nm} \Gamma^n_{kl}. \quad (10.70)$$

Although Γ^i_{kl} is no tensor, this particular combination is a (1, 3)-tensor (Proof: \rightarrow next).

20 | Covariant derivatives are defined by an infinitesimal parallel transport. As parallel transport is path pendent, the subsequent application of two covariant derivatives in different directions cannot be commutative. Indeed:

$$A_{k[l;m]} \equiv A_{k;l;m} - A_{k;m;l} \doteq R^i_{klm} A_i \quad \ast\ast \text{ Ricci identity} \quad (10.71)$$

\rightarrow Covariant derivatives of tensors are *not* commutative (in general)!

[Eq. (10.71) is valid in this form only for torsion-free connections.]

$A_{k;l;m}$ is (0, 3)-tensor $\xrightarrow{\leftarrow \text{Quotient theorem}}$ R^i_{klm} is (1, 3)-tensor ✓

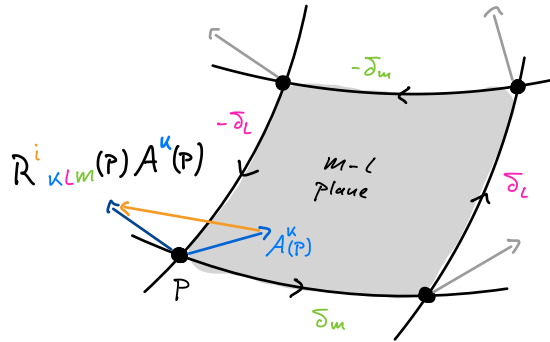
- Alternatively, you can prove the tensorial transformation of R^i_{klm} manually using the expression Eq. (10.70) and the transformation of the connection coefficients Eq. (10.39) and partial derivatives Eq. (3.5).

- Compare the non-commutativity of the covariant derivative of tensors with the commutativity of conventional partial derivatives:

$$A_{k[l,m]} \equiv A_{k,l,m} - A_{k,m,l} = \partial_m \partial_l A_k - \partial_l \partial_m A_k = 0. \tag{10.72}$$

21 | Notes:

- The curvature tensor can be interpreted geometrically as follows:

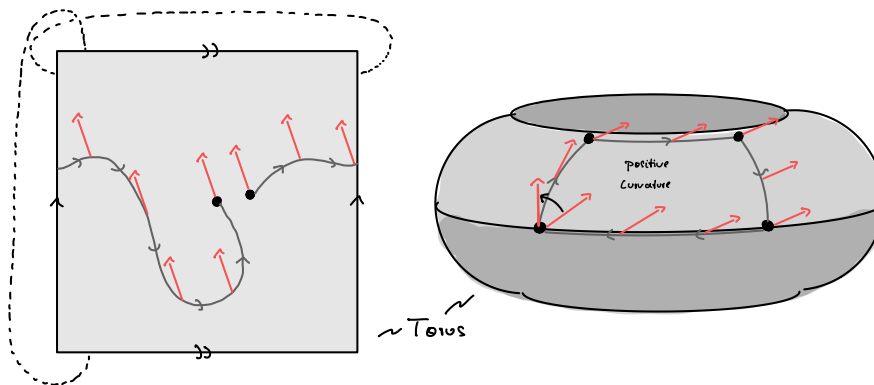


Since curvature is the property that vectors parallel transported around infinitesimal loops change their direction, one can encode all features of curvature in an object that tells you how an arbitrary vector is transformed if transported around any infinitesimal parallelogram in the ml -plane. This object is the curvature tensor, and from this perspective it is clear that it must be of rank four (two indices to specify the plane, two for the transformation of the vector).

- (A manifold with) a connection is called *flat* iff the curvature tensor is identically zero everywhere: $R^i_{klm}(p) \equiv 0$. In particular, this means (for a torsion-free connection) that in a *neighborhood* of every point on the manifold (and not just the point itself!) you can find a coordinate system in which the connection coefficients vanish identically (i.e., these neighborhoods behave like flat Euclidean space).

In summary, the following statements are equivalent:

- The curvature tensor vanishes identically.
- The manifold is flat.
- Parallel transport is path-independent.
- Covariant derivatives are commutative.
- Whether a space is curved or not is a property of its *connection* and not of its *topology*! For example, here are two topologically equivalent (\uparrow *homeomorphic*) tori (“donuts”):



The left one is defined by identifying opposite edges with each other and inherits the connection of the Euclidean plane. The right torus is embedded in 3D Euclidean space and inherits the metric of \mathbb{R}^3 and its induced connection. Both spaces are topological tori, but the left one is flat whereas the right one is not [as illustrated by the path(in)dependence of parallel transport].

So if someone asks you whether a torus is flat or curved, the correct answer is that this is an undefined question unless a particular connection is specified! (Interestingly, this is not true for the two-dimensional sphere S^2 . While there are many connections you can assign to a 2D sphere, none of them is flat! This is a corollary of the ↑ *Gauss-Bonnet theorem* or, alternatively, the ↑ *hairy ball theorem*.)

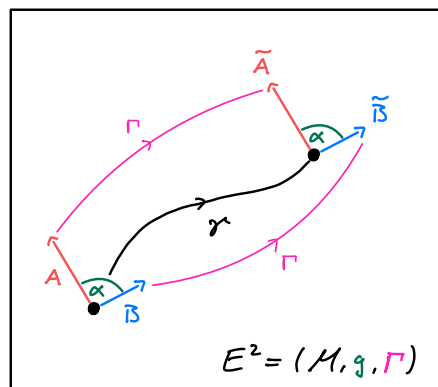
10.3. Affine connections on Riemannian manifolds

We already know the benefits of a Riemannian manifold (M, g) , i.e., a manifold equipped with a (pseudo-)Riemannian metric g . In the previous section, we studied another type of structure that lives on a manifold: a connection Γ . In this section we bring both (a priori independent) concepts together by asking whether, among all possible connections, there are *distinguished* ones on a Riemannian manifold. This will lead us to a connection that can be constructed directly from the metric and plays a central role in GENERAL RELATIVITY.

10.3.1. The LEVI-CIVITA connection

1 | Motivation:

In Euclidean space, the parallel transport of two vectors does not change their inner product (in particular, their norm/length remains constant):



→ It makes sense to generalize this property to general Riemannian manifolds with a connection.

2 | ≪ Riemannian manifold (M, g) with (pseudo-)Riemannian metric $g_{ij}(x)$

A connection Γ is called a \ast *metric-compatible*

$$:\Leftrightarrow \frac{d}{d\lambda} \langle A, B \rangle \stackrel{\text{def}}{=} \frac{d}{d\lambda} (g_{ik} A^i B^k) \stackrel{10.51}{=} \frac{D}{D\lambda} (g_{ik} A^i B^k) \stackrel{!}{=} 0 \tag{10.73}$$

along any curve $\gamma(\lambda)$ for all *parallel* vector fields A and B along γ .

Recall that for a *scalar* the total and absolute derivative are identical.

A and B parallel vector fields: $\frac{DA^i}{D\lambda} = 0 = \frac{DB^k}{D\lambda} \rightarrow$

$$\text{Eq. (10.73)} \Leftrightarrow \frac{Dg_{ik}}{D\lambda} \stackrel{!}{=} 0 \Leftrightarrow \forall_{i,k,l} : g_{ik;l} \stackrel{!}{=} 0 \quad (10.74)$$

Use the Leibniz product rule Eq. (10.52) to show this.

→ $g_{ij}(x)$ is *covariantly constant*

3 | $\xrightarrow{\text{Eq. (10.57b)}}$

$$\partial_l g_{ik} - \Gamma^m_{il} g_{mk} - \Gamma^m_{kl} g_{im} \stackrel{!}{=} 0 \quad (10.75)$$

Since Eq. (10.74) holds for arbitrary indices, we also have equations with cyclic permutations:

$$\partial_k g_{li} - \Gamma^m_{lk} g_{mi} - \Gamma^m_{ik} g_{lm} \stackrel{!}{=} 0, \quad (10.76a)$$

$$-\partial_i g_{kl} + \Gamma^m_{ki} g_{ml} + \Gamma^m_{li} g_{km} \stackrel{!}{=} 0. \quad (10.76b)$$

Adding up the three equations yields

$$\begin{aligned} \Gamma_{i(kl)} &\equiv \Gamma^m_{(kl)} g_{mi} \stackrel{!}{=} \frac{1}{2} (\partial_l g_{ik} + \partial_k g_{li} - \partial_i g_{kl}) + \frac{1}{2} (S^m_{li} g_{mk} + S^m_{ki} g_{ml}) \\ &= \frac{1}{2} (\partial_l g_{ik} + \partial_k g_{li} - \partial_i g_{kl}) + S_{(kl)i} \end{aligned} \quad (10.77)$$

with torsion $S^m_{li} = \Gamma^m_{li} - \Gamma^m_{il}$ and the symmetrized coefficient $\Gamma^m_{(kl)} := \frac{1}{2} (\Gamma^m_{kl} + \Gamma^m_{lk})$ and torsion tensor $S_{(kl)i} := \frac{1}{2} (S_{kli} + S_{lki})$.

If we assume a torsion-free connection, it is $\Gamma_{i(kl)} = \Gamma_{ikl}$ and $S_{(kl)i} = 0$ so that

$$\Gamma_{ikl} = \frac{1}{2} (\partial_l g_{ik} + \partial_k g_{li} - \partial_i g_{kl}). \quad (10.78)$$

These are the connection coefficients of the unique Levi-Civita connection.

4 | Use symmetry $\Gamma^i_{kl} = \Gamma^i_{lk}$ (torsion-free!) and definition $\Gamma_{ikl} := g_{im} \Gamma^m_{kl}$

$\xrightarrow{\text{Eqs. (10.75) and (10.76)}}$

$$\begin{aligned} \text{** Christoffel symbols} & \quad \Gamma_{ikl} \stackrel{\circ}{=} \frac{1}{2} (\partial_l g_{ik} + \partial_k g_{li} - \partial_i g_{kl}) & (10.79a) \\ \text{(of the first kind)} & \end{aligned}$$

$$\begin{aligned} \text{** Christoffel symbols} & \quad \Gamma^i_{kl} = \frac{1}{2} g^{im} (\partial_l g_{mk} + \partial_k g_{ml} - \partial_m g_{kl}) & (10.79b) \\ \text{(of the second kind)} & \end{aligned}$$

! You *cannot* pull indices up/down inside partial derivatives because the metric itself depends on the coordinates. For example: $g^{im} \partial_l g_{mk} \neq \partial_l (g^{im} g_{mk}) = \partial_l \delta^i_k = 0$.

This torsion-free, metric-compatible connection is *unique* and called the *Levi-Civita connection*:

Christoffel symbols Γ^i_{kl} = Connection coefficients of the ** *Levi-Civita connection*

- In GENERAL RELATIVITY, we only work with the Levi-Civita connection; i.e., when we use the symbols Γ^i_{kl} , we always refer to the Christoffel symbols Eq. (10.79) (and not to generic coefficients of a [metric-compatible] connection, → *below*).

- For a given metric, there are many compatible connections (→ next). However, if we demand *in addition* that the connection is symmetric (= torsion-free), there is only one possible choice: the Levi-Civita connection (↑ *Fundamental theorem of Riemannian geometry*).
- The Christoffel symbols are sometimes written as [131, 132]

$$\left\{ \begin{matrix} i \\ kl \end{matrix} \right\} = \frac{1}{2} g^{im} (\partial_l g_{mk} + \partial_k g_{ml} - \partial_m g_{kl}) . \tag{10.80}$$

(Einstein used an “upside down” version of this notation in his original work on GENERAL RELATIVITY, e.g., in Ref. [11].)

Then it follows from Eq. (10.77) that a *general* metric-compatible connection can be written as

$$\Gamma^i_{kl} = \Gamma^i_{(kl)} + \Gamma^i_{[kl]} = \left\{ \begin{matrix} i \\ kl \end{matrix} \right\} + \underbrace{\frac{1}{2} (S^i_{kl} - S_l^i{}_k + S_{kl}{}^i)}_{=:-K^i{}_{kl}} , \tag{10.81}$$

with $\Gamma^i_{[kl]} = \frac{1}{2} S^i{}_{kl}$; the tensor $K^i{}_{kl}$ is known as ↑ *contorsion tensor* (“Verdrehungstensor”).

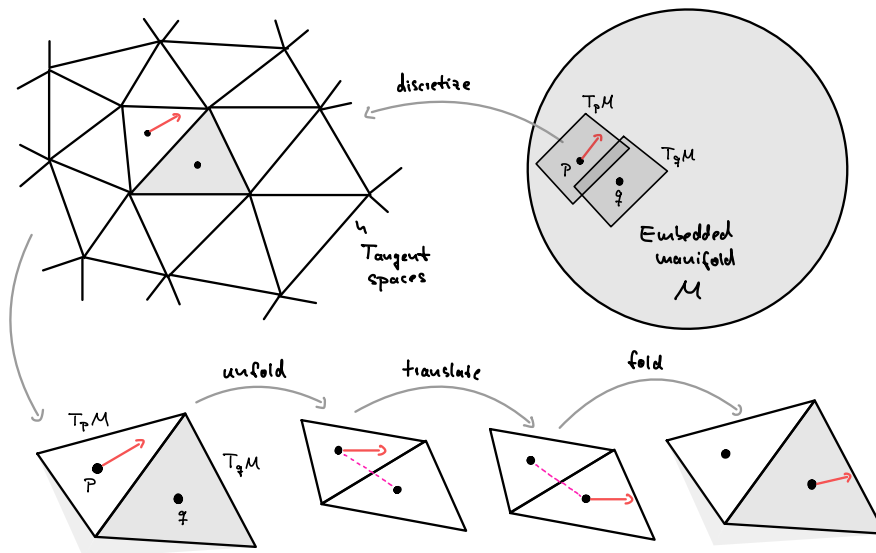
The torsion-free Levi-Civita connection is the special case where

$$\Gamma^i_{kl} = \left\{ \begin{matrix} i \\ kl \end{matrix} \right\} . \tag{10.82}$$

Because we use only the torsion-free Levi-Civita connection in GENERAL RELATIVITY, we don’t make use of this notation and only write $\Gamma^i{}_{kl}$.

5 | Interpretation:

For the special case of a 2D manifold embedded in 3D Euclidean space, the Levi-Civita connection can be geometrically interpreted as follows:



! This illustration is based on an embedding of the manifold into an ambient Euclidean space (which induces a metric on the manifold). Note, however, that the Levi-Civita connection is *intrinsically* defined and does not require such an embedding.